

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.  
SP02-004SERIAL NO.  
10/044,595LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

APPLICANT Havens et al.

FILING DATE 1-9-02

GROUP TO  
BE ASSIGNED TBA

MAY 07 2002

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
PT	3,208,860	9/28/65	Armistead et al.			
	3,562,172	2/9/71	Ono et al.			
	3,567,605	3/2/71	Becker			
	4,190,451	2/26/80	Hares et al.			
	4,215,010	7/29/80	Hovey et al.			
	4,284,686	8/18/81	Wedding			
	4,342,668	8/3/82	Hovey et al.			
	4,634,767	1/6/87	Hoelscher et al.			
	4,637,698	1/20/87	Kwak et al.			
	4,699,473	10/13/87	Chu			
	4,720,547	1/19/88	Kwak et al.			
	4,756,973	7/12/88	Sakagami et al.			
	4,784,474	11/15/88	Yamamoto et al.			
	4,785,097	11/15/88	Kwak			
	4,792,224	12/20/88	Kwiatkowski et al.			
	4,816,584	3/28/89	Kwak et al.			
	4,831,142	5/16/89	Kwak			
	4,851,471	7/25/89	Maltman et al.			
	4,851,530	7/25/89	Rickwood			
	4,889,413	12/26/89	Ormsby et al.			
	4,909,963	3/20/90	Kwak et al.			
	4,913,544	4/3/90	Rickwood et al.			
	4,931,219	6/5/90	Kwiatkowski et al.			
	4,931,221	6/5/90	Heller			
	4,936,995	6/26/90	Kwiatkowski			
	4,980,089	12/25/90	Heller			
	4,986,934	1/22/91	Kwiatkowski et al.			
	4,994,208	2/19/91	McBain et al.			
	5,066,818	11/19/91	Gemert et al.			
	5,106,998	4/21/92	Tanaka et al.			
	5,114,621	5/19/92	Guglielmetti et al.			
	5,130,058	7/14/92	Tanaka et al.			
	5,130,353	7/14/92	Fischer et al.			
PT	5,139,707	8/18/92	Guglielmetti et al.			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement-PTO-1449 (Modified)

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.  
SP02-004SERIAL NO.  
10/044,595LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

APPLICANT Havens et al.

FILING DATE 1-9-02

GROUP TO  
BE ASSIGNED TBA

MAY 8 7 2002

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
PT	5,166,345	11/24/92	Akashi et al.			
	5,171,636	12/15/92	Castaldi et al.			
	5,180,254	1/19/93	Matiere			
	5,180,524	1/19/93	Casilli et al.			
	5,185,390	2/9/93	Fischer et al.			
	5,200,116	4/6/93	Heller			
	5,233,038	8/3/93	Guglielmetti et al.			
	5,238,981	8/24/93	Knowles			
	5,244,602	9/14/03	Van Gemert			
	5,246,630	9/21/93	Selvig			
	5,381,193	1/10/95	Wedding			
	5,973,039	10/26/99	Florent et al.			
	5,973,865	10/26/99	Havens et al.			
	6,034,193	3/7/00	Henry et al.			
	6,197,711	3/6/01	Havens et al.			
PT	6,262,155	7/17/01	Florent et al.			

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub- Class	Translation Yes No
PT	AL EPA 0 171909	7/3/85	EPC			
PT	AM EPA 0 508219	12/17/84	EPC			
PT	AN EPA 0 232295	7/21/86	EPC			
PT	AO EPA 0 562915	3/17/93	EPC			
	AP					
	AQ					

## OTHER ART (Including Author Title Date Pertinent Pages etc.)

	AR	<i>Photochromism</i> , G. Brown, Ed., <i>Techniques of Chemistry</i> , Wiley Interscience, Vol. III, 1971, Chapter III, pp. 45-294, R.C. Bertelson. <b>NOT RECEIVED</b>
	AS	<i>Photochromism, Molecules and Systems</i> , Edited by H. Durr, H. Bouas-Laurent, Elsevier, 1990, Chapter 8, "Spiropyrans," pp. 314-455, R. Guglielmetti, all herein incorporated by reference. <b>NOT RECEIVED</b>
	AT	

EXAMINER:

P. Tucker

DATE CONSIDERED:

1/04

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.